

APPENDIX D

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AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD.

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

AVAGO TECHNOLOGIES GENERAL IP
(SINGAPORE) PTE. LTD.,

Plaintiff,

v.

ASUSTEK COMPUTER INC. and ASUS
COMPUTER INTERNATIONAL,

Defendants.

Case No. 15-cv-04525-EMC

**REQUEST FOR INTERNATIONAL
JUDICIAL ASSISTANCE
(LETTER ROGATORY)**



1 TO THE APPROPRIATE JUDICIAL AUTHORITY OF TAIWAN:

2 The United States District Court for the Northern District of California presents its
3 compliments to the Appropriate Judicial Authority of Taiwan, and requests international assistance
4 to obtain evidence to be used in a civil proceeding before this Court in the above-captioned matter.

5 The Court requests the assistance described herein in the interests of justice.

6 **I. REQUEST**

7 The Court requests that the Appropriate Judicial Authority of Taiwan compel production of
8 documents from the following corporate entity:

9 **Hon Hai Precision Industry Co., Ltd.**

10 No. 2 Zihyou Street

11 Tucheng District, New Taipei City, 236, Taiwan

12 Specifically, the Court requests that Hon Hai Precision Industry Co., Ltd. (“Hon Hai”) produce the
13 documents and things set out in **Exhibit A** to this Request.

14 The Court further requests that the Appropriate Judicial Authority of Taiwan compel Hon
15 Hai to provide testimony as to the topics set forth in **Exhibit B** to this Request. With respect to
16 methods and procedures to be followed in connection with the requested deposition, the Court
17 respectfully requests that, to the extent possible under Taiwanese law: (a) Hon Hai be required to
18 designate one or more officers, directors, or managing agents, or other person(s) who consent to
19 testify on its behalf as to the topics set forth in **Exhibit B**; (b) an appropriate judicial officer of
20 Taiwan direct that the Hon Hai witness(es) be duly sworn in accordance with the applicable laws
21 and procedures of Taiwan; (c) the examination be conducted orally; (d) the parties’ legal
22 representatives, their interpreters, and a stenographer be permitted to be present during the
23 examination; and (e) the stenographer be permitted to record verbatim the examination, and a full
24 transcript of the examination, in translation from Mandarin Chinese to English through a qualified
25 interpreter, be made at the time of the examination.

26 The Court understands that the documents and information requested may be of a
27 confidential nature. As such, there is an protective order in this case to protect the confidentiality
28 of any documents produced and of any responses to the written questions. A copy of the



1 protective order is attached hereto as **Exhibit C**. The parties in the above-captioned matter may
2 negotiate a more-detailed protective order. If the Court enters a new order, it will be sent
3 separately.

4 **II. FACTS**

5 Plaintiff Avago Technologies General IP (Singapore) PTE. Ltd. (“Avago”) filed suit
6 against Defendants ASUSTeK Computer Inc. and ASUS Computer International (collectively
7 “ASUS”) in the United States District Court for the Eastern District of Texas, seeking a judgment
8 that ASUS infringes U.S. Patent Nos. 5,670,730, 5,870,087, 5,982,830, 6,188,835, 6,430,148,
9 6,744,387, and 6,982,663 (collectively, the “Asserted Patents”). On September 25, 2015, the case
10 was transferred to the Northern District of California. Avago seeks an award of damages from
11 ASUS to compensate for the alleged patent infringement. The technology described and claimed
12 in the Asserted Patents relates to, among other things: decoding electronic video and/or audio files
13 (*e.g.*, MPEG); storing audio files (*e.g.*, MP3) on an integrated circuit chip; reading data from an
14 optical disc (*e.g.*, DVD or Blu-ray disc); and use of or compatibility with the IEEE 802.11 wireless
15 standard.

16 Through its investigation, Avago has determined that Hon Hai makes components that are
17 used in the allegedly infringing devices made and sold by ASUS. Thus, it appears that Hon Hai
18 possesses information of relevance to Avago’s claims of infringement in this patent litigation.

19 **III. EVIDENCE**

20 The documents requested to be produced are identified in **Exhibit A**. The deposition
21 topics to which Hon Hai’s testimony is requested are set forth in **Exhibit B**.

22 **IV. OFFER OF RECIPROCAL ASSISTANCE**

23 The United States District Court for the Northern District of California is willing to provide
24 similar assistance to the Appropriate Judicial Authority of Taiwan. *See* 28 U.S.C. § 1782.

25 **V. REIMBURSEMENT FOR COSTS**

26 Avago’s counsel is willing to reimburse the Appropriate Judicial Authority of Taiwan for
27 costs and expenses incurred in executing this Letter Rogatory.
28



1 Dated: _____

2 Hon. Edward M. Chen
3 United States District Judge
4 United States District Court for the Northern District of California
5 450 Golden Gate Avenue,
6 San Francisco, CA 94102
7 United States of America
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EXHIBIT A

DOCUMENTS REQUESTED

DEFINITIONS AND INSTRUCTIONS

DEFINITIONS

1. “Hon Hai,” “You,” “you,” “Your,” or “your” shall mean and refer to Hon Hai Precision Industry Co., Ltd. and all predecessors, successors, subsidiaries, divisions, parents and affiliates thereof, past or present, joint ventures, and other legal entities that are or were wholly or partially owned or controlled by Hon Hai, either directly or indirectly, and all past or present directors, officers, owners, agents, attorneys, and others acting for or on behalf of these same entities.

2. “Defendants” shall mean and refer to Defendants ASUSTeK Computer Inc. and ASUS Computer International, and all predecessors, successors, subsidiaries, divisions, parents, and affiliates thereof, past or present, joint ventures, and other legal entities that are or were wholly owned or controlled by Defendants, either directly or indirectly, and all past or present directors, officers, owners, employees, agents, representatives, consultants, attorneys, and others acting for or on behalf of these same entities.

3. “Plaintiff” or “Avago” shall mean and refer to Avago Technologies General IP (Singapore) Pte. Ltd., its officers, directors, employees, partners, corporate parent, subsidiaries, or affiliates, and any persons or entities who are, or at any time to which the Letter Rogatory relates were acting on behalf of Avago.

4. The “’730 patent” means U.S. Patent No. 5,670,730.

5. The “’087 patent” means U.S. Patent No. 5,870,087.

6. The “’835 patent” means U.S. Patent No. 6,188,835.

7. The “’148 patent” means U.S. Patent No. 6,430,148.

8. The “’663 patent” means U.S. Patent No. 6,982,663.

9. The “’387 patent” means U.S. Patent No. 6,744,387.

10. The “’830 patent” means U.S. Patent No. 5,982,830.



11. The term “Patents-in-Suit” means and refers to, individually and collectively, the ’730, ’087, ’835, ’148, ’663, ’387, and ’830 patents, as defined herein.

12. The term “WiFi Component(s)” or “Components” means any product, system, software, or method, in whole or in part, that complies with, is compatible with, or implements any part of any version of 802.11 standard, including without limitation Clause 18 (titled “Orthogonal frequency division multiplexing (OFDM) PHY specification”), including, without limitation, Hon Hai Part Nos. T77H469.00, T77H348.08, T77H469.03, T777Z371.00, and T777H348.15.

13. “Accused Product(s)” means any activity, product, process, method, system, apparatus, or thing made, used, sold, or offered for sale by Defendants or imported into the United States by Defendants that infringes one or more claims of the Patents-in-Suit, including, without limitation, ASUS Model Nos. T100TAF, GS751JM, M11BB-B07, X102BA, and/or TF701T. “Accused Product(s)” includes but is not limited to Defendants’ products containing Components.

14. “Source Code” means computer source and/or object code (*e.g.*, RTL, HDL, VHDL, Verilog, *etc.*), whether in printed or electronic form.

15. The term “Litigation” means the patent infringement case entitled *LSI Corp., et al. v. Funai Electric Co., Ltd., et al.*, Case No. 15-cv-04307-EMC pending in the United States District Court for the Northern District of California.

16. The term “Letter Rogatory” means the Request for Judicial Assistance (Letter Rogatory) filed in this Litigation which this **Exhibit A** is attached thereto.

17. The term “document” refers to documents and electronically stored information—including writings, drawings, graphs, charts, photographs, sound recordings, images, and other data or data compilations—stored in any medium from which information can be obtained, and includes all preliminary and final drafts of any such item.

18. The term “all documents” means any and all documents that Hon Hai can locate through a diligent search of all locations likely to contain the documents requested herein and through a reasonable inquiry of all persons likely to know of the existence of the documents requested herein.



DOCUMENT REQUESTS

1
2 1. Documents sufficient to identify each of Your products or Components sold or
3 otherwise provided to Defendants (including those products or Components sold or otherwise
4 provided to any entities participating in the design, manufacture, assembly, or testing of any product
5 of Defendants) into any product of Defendants since 2009.

6 2. For each of Your products or Components identified in response to Request No. 1,
7 documents sufficient to identify the product name, model and revision number, and product family.

8 3. For each of Your products or Components identified in response to Request No. 1,
9 documents sufficient to describe the identification and meaning of all chip or die markings or codes,
10 such as model number, lot code, and the like.

11 4. Documents sufficient to show each product of Defendants into which any of your
12 products or Components are incorporated.

13 5. For each of Your products or Components identified in response to Request No. 1,
14 Documents sufficient to show the supply, manufacturing, and distribution chain for each of Your
15 products or Components, from their point(s) of manufacture to their point(s) of incorporation into
16 any product of Defendants.

17 6. For each of Your products or Components identified in response to Request No. 1,
18 all Documents Concerning the design, layout, operation, and/or function of any product or
19 Component used in any product sold by or on behalf of Defendants, including but not limited to,
20 manufacturing drawings, datasheets, blueprints or other technical diagrams, design and engineering
21 specifications, computer modeling information, Source Code, and development and testing
22 information.

23 7. All Source Code designed, written, compiled, produced and/or used by You
24 Concerning any WiFi Component used in any product sold by or for the benefit of Defendants,
25 including without limitation Source Code related to compliance with, compatibility with, or
26 implementation of Clause 18 of the 802.11 Standard (titled "Orthogonal frequency division
27 multiplexing (OFDM) PHY specification"), and all Documents Concerning such Source Code.
28



1 8. All Documents relating to testing and/or certification of any of Your products and/or
2 Components used in any product sold by or for the benefit of Defendants, including Documents
3 relating to compliance with any standard (*e.g.*, 802.11, *etc.*).

4 9. All Documents and Communications with Defendants Concerning the Accused
5 Products, any WiFi Component(s), Avago, the Litigation, the Patents-in-Suit, or the Letter
6 Rogatory, including without limitation Documents Concerning the purchase, sale and/or pricing of
7 any product.

8 10. All Documents Concerning supply agreements, statements of work, plans of record,
9 purchase orders, engineering requirement specifications, mechanical outlines, and/or the pricing of
10 Components and associated technology between You and Defendants or between You and any
11 third-party for any product or Component used in any Accused Products.

12 11. Documents sufficient to identify on a monthly basis products or Components sold
13 and/or shipped to Defendants.



EXHIBIT B

DEPOSITION TOPICS

1. The origins and maintenance of Documents produced by You in response to the Letter Rogatory including: (a) authentication of the Document(s); (b) its status as a business record; and (c) the circumstances under which the Document was prepared, for what purpose, to whom it was distributed or intended to be distributed, and how it was preserved and maintained.

2. The design, development, and manufacture of any Component(s) sold or otherwise provided to Defendants or to any third-party for inclusion or use within any product sold by or on behalf of Defendants.

3. The product name, model and revision number, and product family of Components sold or otherwise provided to Defendants or any third-party for inclusion or use within any product sold by or on behalf of Defendants, including without limitation identification and meaning of all chip or die markings or codes, such as model number, date, and lot code.

4. The products made, sole, or offered for sale by Defendants into which Your products or Components are incorporated.

5. Sales (including the number of units shipped and associated revenue) of each product or Component sold or otherwise provided to Defendants or any third-party for inclusion or use within any product sold by or on behalf of Defendants.

6. The supply and distribution chain for Your products and Components, from their point(s) of manufacture to their point(s) of incorporation into any product sold by or for the benefit of Defendants.

7. The structure, function, and operation of Your products and Components sold or otherwise provided to Defendants.

8. Systems, methods, instructions, or protocols for controlling, programming, interacting with, or communicating with Your products and/or Components for use with any product sold by or for the benefit of Defendants.

9. Testing and/or certification of Your products and/or Components with respect to use of or compliance with any standard .



1 10. Requests by Defendants for documents or information within the possession,
2 custody, or control of You or any entity related, directly or indirectly, with You.

3 11. Communications between You and Defendant Concerning Your products and/or
4 Components and any product of Defendants.

5 12. Communications between You and Defendants Concerning Avago, the Litigation,
6 the Patents-in-Suit, and/or this Letter Rogatory.

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